



Private and Confidential
Targeted Financial Sanctions Quarterly Reporting Form

Regulatory Body:

Licensee/Registrant Name:

Quarter Ending (dd/mm/yyyy):

We certify that after a review of our client records for all sanction Notices issued during the quarter, we have determined that we **do not** hold funds, other financial assets or economic resources for persons designated by the United Nations Security Council and/or person(s) acting on their behalf or at their discretion during the quarter.

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We certify that after a review of our client records for all sanction Notices issued during the quarter, we have determined that we hold funds for persons designated by the United Nations Security Council and/or person(s) acting on their behalf or at their discretion and that this positive match has been reported to the Attorney General (AG) and Financial Intelligence Unit (FIU) without delay.

Submission Date
(dd/mm/yyyy):

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We certify that after a review of our client records for all sanction Notices issued during the quarter, we have determined that we hold funds for persons designated by the United Nations Security Council and/or person(s) acting on their behalf or at their discretion and that this positive match has not been reported as yet. Additionally, we advise that this match will be reported to the AG and FIU without delay.*

Proposed Submission
Date (dd/mm/yyyy):

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Additional Details/Comments

Director/Senior Official - Name:

Compliance/Money Laundering Reporting Officer - Name:

Director/Senior Official - Signature:

Compliance/Money Laundering Reporting Officer - Signature:

Date signed:

Date signed:

Notes on Completion:

1. Complete the form on a quarterly basis.
2. The form should be submitted no later than **5 calendar days after the quarter-end**.
3. Funds means any assets, economic resources and property of any kind.
4. *Where there has been a delay in reporting to the AG and FIU, please provide the rationale in the Additional Details/Comments Section.
5. Financial institutions who do not comply with the reporting requirements pursuant to Section 44 subsection (1) of the Anti-Terrorism Act, 2018 and the Anti-Terrorism (Amendment) Act, 2019, commit an offence and are liable on summary conviction to a fine not exceeding two hundred and fifty thousand dollars, pursuant to section 49 subsection (3) of the Anti-Terrorism Act, 2018.